

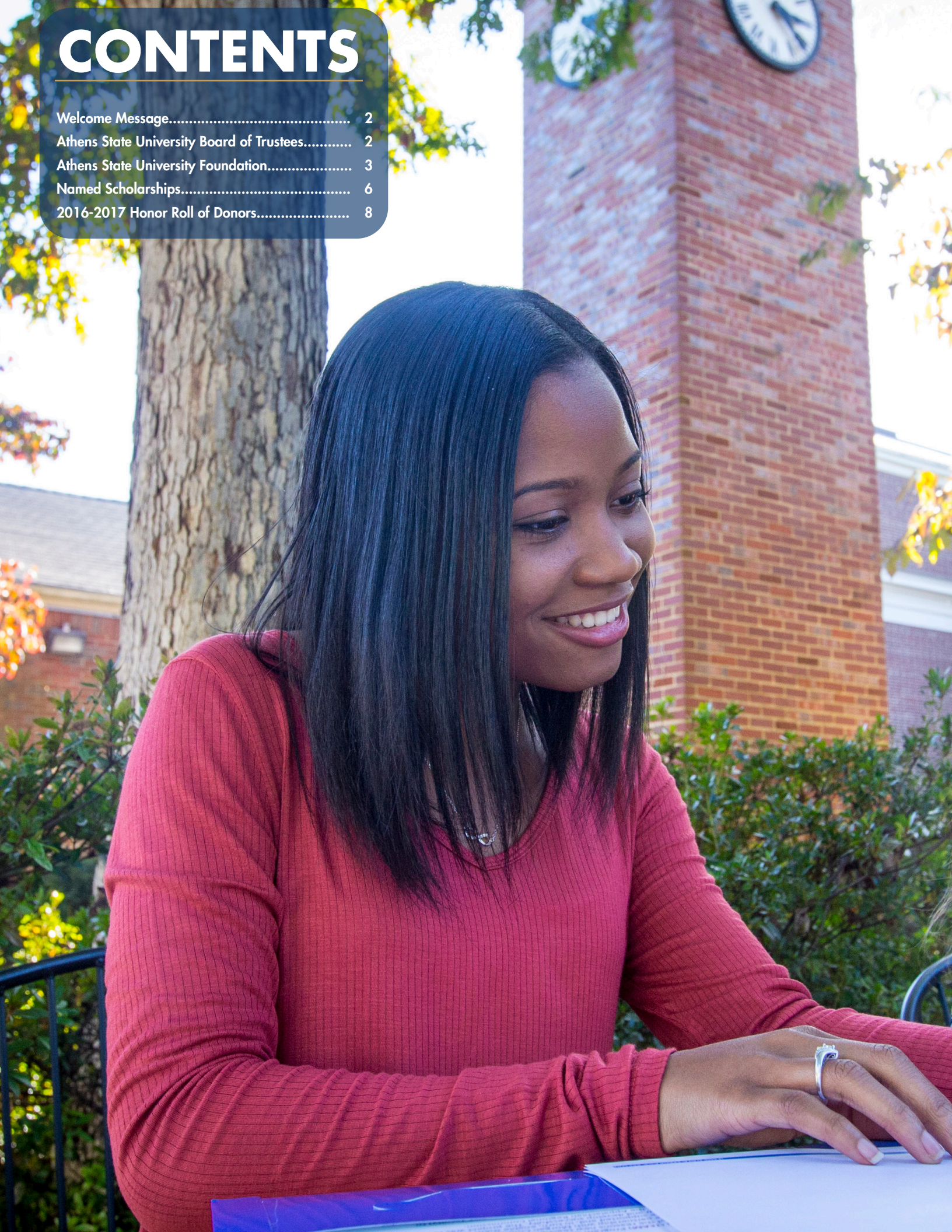


2016-2017

**ANNUAL
REPORT**

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GRATEFUL FOR YOUR SUPPORT

REMARKS FROM THE VICE PRESIDENT FOR UNIVERSITY ADVANCEMENT



As we share with you our financial reports for both the University and the Athens State Foundation, we are cognizant of the changes that the University has experienced over the course of its 196 years. Any institution, public or private, that has existed this many years has certainly seen its share of ups and downs. This report reflects a continued trend of solid financial performance for both the University and the supporting foundation, the result of a commitment to excellence by our faculty, staff, and the unwavering generosity of the donors who so faithfully support our students.

The 2016-17 academic year brought with it change in the form of our newest graduate degree in Career and Technical Education. Enrollment in the program exceeded our expectations, and coupled with a steady undergraduate student population, our overall headcount increased almost 2%. As we continue to explore program opportunities that provide a pathway to growth for our students, we realize that this too means change and that we must be willing to embrace the future in order to better serve the North Alabama region.

We are now just four short years from celebrating our bicentennial in 2022. On the first Saturday of each August, we celebrate Homecoming here at the University. The Bicentennial Committee is hard at work interviewing alumni and friends of the University and making plans for a year-long celebration beginning in 2021. We hope you plan to join us here on campus each year for Homecoming as we look forward to our 200th year.

Sincerely,

A handwritten signature in black ink that reads "Keith D. Ferguson". The signature is fluid and cursive, with a long horizontal stroke at the end.

Keith D. Ferguson, Ph.D.

2016 - 2017 BOARD OF TRUSTEES

PRESIDENT

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Mr. Tazewell T. Shepard | Sparkman, Shepard & Morris | Huntsville, AL

Dr. Sandra Sims-deGraffenried | Consultant | Orange Beach, AL

ATHENS STATE UNIVERSITY FOUNDATION

In 1982, a small group of college and community leaders formed an organization to provide means for financial and public support for Athens State University. The Athens State University Foundation was created as a privately controlled, independent organization of philanthropic aid to the institution. The Foundation is recognized as a non-profit organization under the Internal Revenue Service's 501(c)(3) guidelines.

While state appropriations provide a base for University operations, the Foundation has a common goal of ensuring Athens State University continues as a top regional educational institution. The Foundation is the proper channel for private gifts from individuals, corporations, and foundations to the University. These gifts can be annual contributions or a planned gift, whereby a donor provides for the University in their will or designates funds to be used in a way they determine will be the best in their long-term interest.

BOARD OF DIRECTORS

PRESIDENT

Mr. Jerry Evans | Athens, AL

EX-OFFICIO MEMBERS

Dr. Keith Ferguson (Secretary/Treasurer) | Athens, AL

Dr. Bob Glenn | Athens, AL

Dr. Joe Delap | Athens, AL

Ms. Donna Hood | Athens, AL | 2009

MEMBERS

Ms. Linda Beasley | Athens, AL | 1965

Mr. Fred Bickley, III | Athens, AL

Mr. Richard Chittam | Athens, AL | 1973

Mr. Tommy Coblentz | Huntsville, AL

Ms. Donna Coleman | Madison, AL | 1992

Ms. Naomi DuBois | Elkmont, AL | 1980

Dr. Mike Essary | Athens, AL

Ms. Kathy Garrett | Madison, AL | 1981

Mr. Mark Jackson | Athens, AL

Mr. Tim Lovelace | Decatur, AL

Ms. Lisa Payne | Athens, AL | 1986

Mr. Andy Whitt | Ardmore, AL

The Honorable Charles Woodruff | Athens, AL

ASSETS

CURRENT ASSETS

Cash and Cash Equivalents.....	\$ 487,096
Pledges Receivable - Current.....	9,800
Certificates of Deposit.....	790,996
Prepaid Expenses.....	12,375
TOTAL CURRENT ASSETS	\$ 1,300,267

LONG-TERM CASH & INVESTMENTS

Restricted Cash.....	\$ 118,465
Investments.....	3,673,861
TOTAL LONG-TERM CASH & INVESTMENTS	\$ 3,792,326

OTHER ASSETS

Donated Assets.....	\$ 1,527
Pledges Receivable - Non-Current.....	69,535
TOTAL OTHER ASSETS	\$ 71,062

TOTAL ASSETS **\$ 5,163,655**

LIABILITIES & NET ASSETS

CURRENT LIABILITIES

Accounts Payable.....	\$ 11,174
Deferred Revenue.....	22,350
TOTAL CURRENT LIABILITIES	\$ 33,524

TOTAL LIABILITIES **\$ 33,524**

NET ASSETS

Unrestricted.....	\$ 371,542
Temporarily Restricted.....	3,023,016
Permanently Restricted.....	1,735,573
TOTAL NET ASSETS	\$ 5,130,131

TOTAL LIABILITIES & NET ASSETS **\$ 5,163,655**

ATHENS STATE UNIVERSITY FOUNDATION

STATEMENT OF ACTIVITIES FOR THE YEAR ENDED SEPTEMBER 30, 2017

	<u>Unrestricted</u>	<u>Temporarily Restricted</u>	<u>Permanently Restricted</u>	<u>Total</u>
Revenues, Gains and Other Support:				
Contributions	\$ 64,428	\$ 503,689	\$ 166,851	\$ 734,968
In-Kind Donations	90,396	-	-	90,396
Special Events Income	-	261,759	-	261,759
Interest and Dividends, Net of Fees	2,878	95,934	-	98,812
Investment Gain (Loss)	(1,915)	205,429	-	203,514
Net Assets Released from Restrictions:				
Satisfaction of Purpose Restrictions	<u>547,464</u>	<u>(547,464)</u>	<u>-</u>	<u>-</u>
 Total Revenues, Gains and Other Support	 703,251	 519,347	 166,851	 1,389,449
Expenses				
Program Expenses:				
Student Scholarships	201,238	-	-	201,238
Program Support	121,593	-	-	121,593
Other Program Expenses	131,038	-	-	131,038
Other Expenses:				
Special Events	93,463	-	-	93,463
Management & General	<u>127,563</u>	<u>-</u>	<u>-</u>	<u>127,563</u>
 Total Expenses	 <u>674,895</u>	 <u>-</u>	 <u>-</u>	 <u>674,895</u>
 Change in Net Assets	 \$ 28,356	 \$ 519,347	 \$ 166,851	 \$ 714,554
 Net Assets at Beginning of Year, as restated	 <u>\$ 343,186</u>	 <u>\$ 2,503,669</u>	 <u>\$ 1,568,722</u>	 <u>\$4,415,577</u>
 Net Assets at End of Year	 <u>\$ 371,542</u>	 <u>\$ 3,023,016</u>	 <u>\$ 1,735,573</u>	 <u>\$5,130,131</u>

“Receiving a scholarship had a big impact on me because I didn’t have to worry as much about the expense of tuition. It definitely made it a lot easier on me.”

Ally Holmes, Class of 2020



NAMED SCHOLARSHIPS

PRESIDENTIAL SCHOLARSHIPS

Alabama Kindergarten Conference Presidential Scholarship
Naomi and Andy DuBois Presidential Scholarship
Glasgow Presidential Scholarships
Ena Johnson Kennedy Memorial Presidential Scholarship
Martin Presidential Scholarships
Edward Fennel Mauldin Memorial Endowed Scholarships

DISTINGUISHED SCHOLARSHIPS

Alabama Farmers Cooperative Distinguished Scholarship
Jerry and Jill Bartlett Distinguished Scholarship
Kenneth Black Marine Corps Distinguished Scholarship
Rep. Tommy Carter Distinguished Scholarship
Jonny and Victoria Clendenon Distinguished Scholarship
Elizabeth Newby Crow Distinguished Scholarship
Delta Mu Delta/Robert L. and Mildred Smoot McCall Scholarship
LaTresa Essary Distinguished Scholarship Fund
Leslie Essary Distinguished Scholarship Fund
Leslie Essary Distinguished Delta Mu Delta Scholarship
Mary Frances Allen Gladney Distinguished Scholarship
The Graham Distinguished Scholarship
Graham - Hicks - Rosenau Endowed Scholarship
Hemingway Distinguished Accounting Scholarship
Lorene Howell Hughes Distinguished Scholarship
Dale and Cindy Hutchens Distinguished Scholarship
Dan Jones Distinguished Scholarship
Winston Garth Lovvorn, Sr. Family Endowed Scholarship
Ronny and Gayle Magnusson and Family Endowed Scholarship in Memory of Kay Young
Harry Miller Memorial Scholarship
Dr. Mabry Miller Endowed Scholarship
James M. Newby Distinguished Scholarship
Martha A. Newby Distinguished Scholarship
Jerry A. Newby Distinguished Scholarship
Dianne S. Newby Distinguished Endowed Scholarship
George Partin Endowed Scholarship
Dr. William A. "Bill" Short Distinguished Scholarship
Stanford Memorial Distinguished Scholarship

ENDOWED SCHOLARSHIPS

Darryl Allen Allfrey Memorial Scholarship
Athens Limestone Hospital Employee Scholarship
Athens State University Faculty/Staff Scholarship 2009
Athens State University Faculty/Staff Scholarship 2011
Athens State University Faculty/Staff Scholarship 2013
Athens State University Faculty/Staff Scholarship 2016
Athens State University Foundation Scholarship
Athens State University Foundation Board of Directors Scholarship
Athens State University Natural Sciences Endowed Scholarship
Hunter Bauer Endowed Scholarship
J. E. Beasley, Jr. Memorial Scholarship
Coach Oba Belcher Memorial Scholarship
Edward Burgreen Memorial Scholarship
The Butler Family Endowed Scholarship
James and Melba Chasteen Scholarship
Eva B. Christopher Memorial Endowed Scholarship
Jane Mitchell Coffman Endowed Scholarship
Dr. Curtis D. Coleman Athens Rotarian Memorial Scholarship
Compass Bank Endowed Scholarship
Mary Corum Endowed Scholarship
Dr. J. Homer and Guynneth M. Crim Endowed Scholarship

Vera Smith Hollingsworth and E. Steven Croomes Endowed Scholarship
Frank Crumbley Memorial Scholarship
Daniel - Hightower Memorial Scholarship
Elizabeth Davis Endowed Scholarship
Group Dekko Endowed Scholarship
Charlie H. Donald Endowed Scholarship
Jessica Elkins Endowed Scholarship
A.E. Elmore/Sigma Tau Delta Scholarship
Martin W. "Buddy" Evans Scholarship
Bobbie Deemer Evans Endowed Scholarship
Dr. Holly Ryan Baird-Ferguson Endowed Scholarship
Garth-Gish-Shaw Endowed Scholarship
Laura Baugher-Lovell Endowed Scholarship
Hobbs - Horton Memorial Scholarship
Harry M. & Suzanne B. Joiner Endowed Scholarship
Tim and Linda Jones Public Safety and Health Administration Endowed Scholarship
Jill Butler Lares Education Scholarship
Penne Jones Laubenthal/Sigma Tau Delta Scholarship
Martha Jo Leonard Athens Rotary Club Endowed Scholarship
The Madison County Public Servant Endowed Scholarship
John C. Maund Scholarship
Terry McCormick Endowed Scholarship
Elva Bell McLin/Sigma Tau Delta Scholarship
Acton E. Middlebrooks Memorial Scholarship
Attie J. Ming Endowed Scholarship
Rick Mould Endowed Scholarship
Bob & Billie Murphree Endowed Scholarship
George B. and Ruby L. Murphy Scholarship
Julia Woodroof Nelson Endowed Scholarship
Parapsychology Research Foundation Endowed Scholarship
Mayme Pitts Boys and Girls Ranch Memorial Scholarship
Stan and Donna Pylant Endowed Scholarship
Regions Bank Endowed Scholarship
Dr. Sidney E. Sandridge Endowed Scholarship
Dr. Joe H. Slate Endowed Scholarship for the Arts
The Dr. Joe H. and Rachel Slate Psychology Endowed Scholarship
Harold Smith Memorial Scholarship
Dr. Jenita Smith Memorial Scholarship
John and Julia Smith Endowed Scholarship
Senator John Sparkman Memorial Scholarship
Eileen Millican Terry Endowed Scholarship
Westmoreland Family Memorial Scholarship
The Representative Dan Williams Memorial Endowed Scholarship
Dr. Carl and Carolyn Witty Endowed Scholarship
The Steve Woodruff Endowed Scholarship
Randy York MACS Scholarship

TENNESSEE VALLEY OLD TIME FIDDLERS ASSOCIATION ENDOWED SCHOLARSHIPS

Athens State Maintenance Department Scholarship
Greater Limestone County Chamber of Commerce Endowed Scholarship
Jamie & Gloria Cooper Scholarship
Gayle Davis Scholarship
Alton and Rabon Delmore Endowed Scholarship
Mike Dowell Scholarship
Dave Fitzsimmons Scholarship
Joe Gambill Memorial Scholarship
Jodie Hall Memorial Scholarship
Bill Harrison Memorial Scholarship

NAMED SCHOLARSHIPS

Randy Howard Memorial Scholarship
The T. A. Hudson Memorial Scholarship
Vicki Johnson Scholarship
Charles Kerr Scholarship
Jake Landers Scholarship
Bill Lowery Scholarship
Sam McCracken Scholarship
Jerry McGlocklin Scholarship
Dr. Ronald McNutt Endowed Scholarship
Doug Moss Scholarship
Rick Mould Scholarship
Leo Mudd Endowed Scholarship
J. T. Perkins Memorial Scholarship
George Rose Endowed Scholarship
Tom Rost Scholarship
Bill Sandlin Scholarship
Frank Schrimsher Endowed Scholarship
Mary Wiley Simpson Scholarship
Ewell Smith Scholarship
Gordon Terry Scholarship

PLEDGED ENDOWMENTS

Athens State University Faculty/Staff Scholarship Five
Denver and Willistine Betts Endowed Scholarship
Jerry L. Camp Endowed Scholarship
Dick and Christy Chittam Endowed Scholarship
The Winston Joseph Dickens Distinguished Scholarship
Buster Douthit Off-Campus College Bookstore Endowed
Scholarship
Reba McGlocklin Jones Memorial Endowed Scholarship
Lisa Carter Payne Endowed Scholarship
Becky Pieplow Distinguished Scholarship

FOUNDATION ANNUAL SCHOLARSHIPS

Dr. Dora D. Ashford Memorial Scholarship
Oscar Barker Annual Scholarship
Benevolent and Protective Order of Elks - Huntsville Lodge
No. 1648 Scholarship
Councilor John Rolfe Chapter Colonial Dames XVII Century
No. 18 Scholarship
Virginia Miller Dekle Scholarships
Delta Kappa Gamma - Omicron Chapter Annual Scholarship
John Colby Eledge Memorial Scholarship
Founders Memorial Scholarship
James E. Garino Scholarships
Raymond & Oddlee Hamilton Scholarship
National Space Club-Huntsville Scholarship
Becky Pieplow Annual Scholarship

ALUMNI ENDOWED SCHOLARSHIPS

Dr. James Ballew Scholarship
J.J. & Dottie Donahue SGA President Endowed Scholarship
Judy Douthit Scholarship
Mary Moore McCoy Scholarship
Mary Moore McCoy/Exxon Scholarship
Dr. Angie Nazaretian Scholarship
Dr. Loraine Pabst Scholarship

ALUMNI ANNUAL SCHOLARSHIPS

Alumni - Golf Scholarships
Athenian Ambassador Scholarships
Athens Ladies Civitan Scholarship
J.J. and Dottie Donahue SGA Vice President Scholarship

The generous support of alumni and friends through the establishment of Endowed and Annual Scholarships makes an Athens State University education possible for many students each year. Endowed Scholarships are permanent funds that may be established through an investment of \$25,000, which may be contributed over a period of five years.

Only a portion, 5% or less, of the endowment is awarded each year depending on earnings. For Annual Scholarships, the entire gift amount is awarded each year.

FOUNDATION SCHOLARSHIPS AWARDED FOR ACADEMIC YEAR 2016-17:

Scholarships Awarded.....	192
Scholarship Applications Received....	195
Total Amount of Scholarships Awarded.....	\$164,750
Average Award.....	\$858

YOUR SUPPORT REALLY MATTERS



2016 - 2017 HONOR ROLL OF DONORS

HERITAGE ROLL OF HONOR

The Heritage Roll of Honor recognizes individuals, corporations, and foundations who desire to help meet the needs of today and have contributed cumulative gifts of \$100,000 to the University and/or Foundation.

ALFA Foundation
ALFA Insurance
Bank Independent
Linda Locke Beasley
Virginia Miller Dekle Trust
(Signa) Judy Houghteling
Robbie Nelle Christopher Livingston
Fred and Mary Pat Martin
Bill Ming - College Street Partners, LLC
Barrett C. Shelton, Jr.
Steelcase Foundation
Tennessee Valley Old Time Fiddlers Convention
Frances Wideman

LEGACY CLUB

The Legacy Club recognizes individuals who desire to help meet the needs of the future by contributing through their estate plans. They have remembered the University/Foundation in their wills, through an insurance policy, or through an annuity or trust. Some form of written agreement, copy of will, codicil, or trust document must be provided to the University.

Barbara J. Brooks Estate
William F. Dukes Estate
Deborah Delmore
Charles and Sara Huggins
Carrie Elizabeth McSpadden Estate
Jimmy Milliner Estate
George Partin Estate
Sandra Sims-deGraffenried
Norman Tchun
Mary Ann Thomas Estate
Edith Sybert Tucker Estate

2016 - 2017 HONOR ROLL OF DONORS

1822 SOCIETY - \$25,000 or more

Bank Independent
Judy Houghteling
Jerry and Dianne Newby

FOUNDER'S SOCIETY -

\$10,000 to \$24,999

Alabama Farmers Cooperative, Inc.
Naomi and Andy DuBois
Limestone County Legislative Delegation
Wilmington Trust

COLUMNS SOCIETY -

\$5,000 to \$9,999

3M Foundation
Athens Creekside Drugs LLC
Benevolent and Protective Order of Elks -
Huntsville Lodge No. 1648
Mary C. Dickens
Joy Rosenau Graham
Winston Garth Lovvorn, Sr.
Peoples Bank of Alabama
Dr. Thomas Pieplow
Billy and Deborah Putman
James M. Roe, Jr.

ATHENIAN SOCIETY -

\$1,000 to \$4,999

Linda Locke Beasley
James Berry
Dr. Denver A. Betts
William Brennan
David Brewer and Bree Conover
Calhoun Community College
CB&S Bank
CDPA PC
Dick Chittam
Cook's Pest Control
Delta Kappa Gamma Society-AL Omicron
Chapter
Delta Sigma Theta/CNA Chapter
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Dr. Michael Essary
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Dr. and Mrs. Keith D. Ferguson
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Kathy Garrett
Dr. Bob Glenn
Greenbrier Restaurant
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Dr. Dale E. and Mrs. Cindy Hutchens
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Patsy Jones Lawrence
Timothy Lovelace
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Ronny and Gayle Magnusson
Kerry Marsh
Martin & Cobey
Fred and Mary Pat Martin
Gary McCullors

Drs. Joseph and Nancy McDonald
M.E.W.S.
William Robert Mixon
National Space Club - Huntsville
Optimist Club Of Athens
The Honorable Arthur Orr
Cary and Lisa Carter Payne
Pimentos
Piper and Leaf
Progress Bank
Maxine Randolph
Regions Bank
Renasant Bank
Douglas Wayne Reynolds
Richardson & Deemer Insurance
Tere Richardson
The Sexton Family Charitable Foundation
Drs. Loyd and Bebe Gish Shaw
Clint Shelton
Dr. Sandra Sims-deGraffenried
Ewell Patterson Smith
Dr. Jackie Smith
Julia C. Smith
Missy Ming Smith
State of Alabama
Robert and Mary Sturdivant
Termaine Todd
Dr. Carl Witty
The Honorable Charles C. Woodroof

BELL TOWER CLUB - \$500 to \$999

Agri - AFC, LLC.
Alexander Corder & Shelly PC
Athens Ladies Civitan Club
Athens Primary Care Associates
Billy and Billie Bass
Howard Wayne Bentley
Gail M. Bergeron
Cast Products Inc.
Mildred Caudle
Classic Cleaners, LLC.
Betty Cochran
Philip Coker
Lakin Collins
Nancy Croomes
Dr. Joe Delap
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Digium, Inc.
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Michael William Fessler
Hand Arendall, L.L.C.
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Tina Hooks
Tamika Jefferson
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The Land Trust of Huntsville & North
Alabama
Limestone Chapel Funeral Home
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William G. Mathews
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Morell Engineering, Inc.

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TVC-SHRM
UBS Financial Services
Walker Avenue Krewe
Janet M. Waller
Watkins Oil Company, Inc.
WHNT-TV
Crystal Wilson
XMC Sales, LLC
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BLUE & WHITE CLUB - \$250 to \$499

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Betty Ruth
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Dr. Patricia Sims

2016 - 2017 HONOR ROLL OF DONORS

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Barbara Waite
Joan Walls
Wash & Gossip Laundromat
Westside Pharmacy
Dr. Cathy Lynn Woodruff

LOYALTY CLUB - Up to \$249

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Alicia Alldredge
Eddie and Barbie Allen
Laura Allen
Sidney Allen
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Emmitt T. Arrington
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Athens Diamond Center LLC
Athens State University Business Office
Athens State University - Sigma Tau
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Berval Wayne Bennett & Sandra
Carlisle Bennett
Jerald Berg
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Charles Blackwell
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Peggy Braxton
Jo Ann Breece
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Paul H. Brelo
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Amecia Bridgeforth
Pearlie Bridgeforth
Dr. Lisa Brizendine
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Beverly Brown
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Dr. Jess Brown
Marvin Brown
Roy J. Brown
Timothy Brown
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Patsy Bryant
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John Bullington
Tena Bullington
Jennifer D. Bunnell
Martin Burgos
Dr. Robert Burkhardt
Albert Bush
Ann Butler
Edwin E. and Margaret Butler
Vanessa Looney Byars
Jack Byrd
George Cagle
Kerri Calvert
Martha O. Camp
Donnell Campbell
Leslie Campbell
Penelope Campbell
Sally Campbell
Susan N. Campbell
Wanda Campbell
Tina Marie Cantrell
Sandra Cartee
Chelsea Carter

Clyde Carter
J. T. Carter
Stephen Carter
Tammy Carter
Carolyn Carthen
Tamara Caudle
Mary Chambliss
Anthony Chandler
Bridgette Chandler
Martha G. Chandler
Michael Chapman
Wes Chapman
David Chastain
Mark Cheatham
Hubert Chittam
James R. Christian
Sheikia Christian
Jerry Claborn
Crystal Clark
Darwin Clark
Eric Clark
Danny Clem
Justin Clinard
Jamie Clines
Shelby Cloer
Carolyn Clower
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John Coker
Carl Cole
Margaret S. Cole
Kimberly Collie
Kenneith Collins
Rep. Terri Collins
Verlin Collins
Kevin Connelly
Dr. Glenn Davis Conner
Brian Cook
Stephanie D. Cook
Kelsey Cooper
Roger Cooper
Brian Coppock
Dean Cornelius
Dr. Emily Corzine
John and Donna Cotten
Rebecca Couvillon
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Haley Coy
Deborah Craft
Jonathan Craft
Jane Craig
L. C. Craig
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Adam Croan
Rowe H. Croley
Kenneth Crosson
Jonathan Crowell
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Robert Daly
Jerry Daniel
Marilyn Darwin
Benjamin Davis
Gayle Romine Davis
Michael Lance Davis

2016 - 2017 HONOR ROLL OF DONORS

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Ray Edwards
Randall Elkan
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Archie Emanuel
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Derrick Ervin
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Candice Flores
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Allen Franklin
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Amanda Geci
Wesley Gennick
Leonard Gerstner
Sandra Gibbons
Julia Gibson
Tammy Gilbert
Mary Gillespie
Lanell Gilliam
Paul Glenon
Stacey Glover
Christy Goens
Carol S. Goforth
Margaret Gold
Cynthia Hancock Golub
Dwight and Debra Gooch
Ann K. Gordon
Kathryne Granade
Diane Chapman Gray
Don Greenhaw
Melissa Griffin
Tammy D. Griffin
Henry G. Griggs, Jr. DMD, MS, LLC
Linda Grimmett
Grant Grisham
Stephen F. Gudernatch
Dianna Gurley
Benny Dean Guthrie
Karin Hagen
Rhett J. Hagler
Elizabeth Halbrooks
Barry Hallman
Billy and Barbara Hamilton
Carol Ann Hammons
Thomas Hammons, Jr.
Phillip E. Hampton
Kim Haney
Terry Hanna
Walter Hannum
Kasey Harbin
James Harding
John Earl Harmon
Lillie Harrington
Ann Harris
Bettye Harrison
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